



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Application of

PATRICK C. MARKS et al.

Serial No. 10/051,003

Filed: January 18, 2002

For: MULTIPLE FREQUENCY

HELMHOLTZ RESONATOR

Examiner:

TU M. NGUYEN

Art Unit: 3748

Atty. Docket: 9319

TRANSMITTAL OF NOTICE OF APPEAL

AND THE APPEAL BRIEF

Commissioner for Patents

Washington, DC 20231

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Sir:

Transmitted herewith, in triplicate, is the Appeal Brief in this application, with respect to the Notice of Appeal also transmitted herewith, whereby the Applicants hereby appeal to the Board of Patent Appeals and Interferences from the final rejection of claims 3, 7 and 9, issued by the Examiner on May 23, 2003.

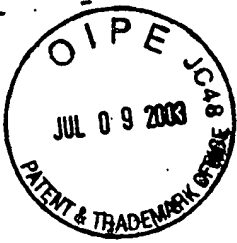
CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10

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William W. Habelt
William W. Habelt

July 9, 2003



Applicants previously filed a Notice of Appeal in this Application on January 15, 2003 and transmitted the Appeal Brief in respect thereof on January 16, 2003.

The Fee of \$320.00 for filing that prior Notice of Appeal was debited against Deposit Account 03-0835 in the name of Carrier Corporation on January 17, 2003. (See attached copy of Deposit Account Statement.)

The Fee of \$320.00 for filing the prior Appeal Brief was debited against Deposit Account 03-0835 in the name of Carrier Corporation on January 27, 2003. (See attached copy of Deposit Account Statement.)

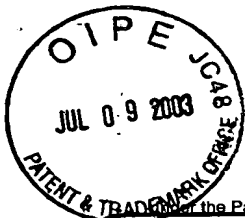
In view of the prior payment of these Fees in this application, it is believed that no additional Fees are due for the submittal of the accompanying Notice of Appeal and Appeal Brief. However, if Applicants are in error and fees are now due, please charge any fees required to Deposit Account 03-0835 in the name of Carrier Corporation.,

Respectfully submitted,
Patrick C. Marks et al.

William W. Habelt
Reg. No. 29,162
Tel: (315) 433-4819
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July 9, 2003
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Carrier Parkway
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Syracuse, NY 13221-4800

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07-10-03

A/F/3748

PTO/SB/21 (03-03)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/051,003	
	Filing Date	January 18, 2002	
	First Named Inventor	Patrick C. Marks	
	Art Unit	3748	
	Examiner Name	Tu M. Nguyen	
Total Number of Pages in This Submission	30	Attorney Docket Number	9319

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<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC)
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<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input checked="" type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
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Firm or Individual	William W. Habelt	
Signature	<i>William W. Habelt</i>	
Date	July 9, 2003	

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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On July 9, 2003


William W. Habelt

Date of Signature: July 9, 2003

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Applicant: Patrick C. Marks, et al
Serial No.: 10/051,003
Filed: January 18, 2002
Title: MULTIPLE FREQUENCY HELMHOLTZ RESONATOR

Transmittal Form – 1 page
Return Receipt Postcard
Transmittal of Notice of Appeal and The Appeal Brief – 2 pages
Notice of Appeal – 1 page
Appeal Brief – 24 pages (8 page document in triplicate)
January 2003 USPTO Deposit Account Statement showing previous payment

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Deposit Account Statement

Requested Statement Month:	January 2003
Deposit Account Number:	030835
Name:	CARRIER CORPORATION
Attention:	ATTN: WILLIAM W. HABELT
Address:	ADMINISTRATIVE & RES BLDG
City:	SYRACUSE
State:	NY
Zip:	13221

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
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01/22	19	09742638	8637	1505	\$300.00	\$38,398.92
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01/30	252	10262731	102247322	8021	\$40.00	\$83,298.92
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